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17 UNITED STATES DISTRICT COURT  
18 NORTHERN DISTRICT OF CALIFORNIA, OAKLAND DIVISION  
19

20 MARCIANO PLATA, et al.,  
21 Plaintiffs,  
22 vs.  
23 GAVIN NEWSOM, et al.,  
24 Defendants.  
25

Case No. 4:01-cv-01351-JST

**RECEIVER’S REPLY TO PARTIES’  
RESPONSE TO ORDER TO SHOW  
CAUSE REGARDING RECEIVER’S  
RECOMMENDATION ON MANDATORY  
VACCINATION (ECF NO. 3647)**

1 **I. INTRODUCTION AND SUMMARY OF ARGUMENT**

2 On August 4, 2021, the Receiver submitted to this Court his Recommendation that the  
3 Court order mandatory vaccination for workers who visit institutions and for incarcerated persons  
4 with outside contacts. In the 37 days since then, the Delta variant has caused a surge in COVID-  
5 19 infections across the country, including at California Department of Corrections and  
6 Rehabilitation (CDCR) institutions, further demonstrating the necessity and urgency of the  
7 Receiver's recommendation. In August 2021, 1,607 CDCR employees have been diagnosed with  
8 COVID-19, an increase of 305% over the prior month. Bick Reply Decl. ¶ 2. The high numbers  
9 of infections among staff have driven even sharper increases in infections among those in CDCR  
10 custody. The number of incarcerated persons in CDCR custody contracting COVID-19 each day  
11 has surged 500% from approximately "20 per day in March, April, and May 2021 to more than  
12 100 per day since July 2021." Bick Suppl. Decl. ¶ 2.

13 This surge has already caused very serious harm and, without the mandatory vaccinations  
14 recommended by the Receiver, that harm will get worse. Since June 1, 2021, many patients have  
15 shown severe and potentially long-lasting symptoms, twelve have been hospitalized, and two have  
16 died. Bick Reply Decl. ¶ 2. Yet, as Dr. Bick explained in his declaration: "Safe and effective  
17 vaccines are now widely available. COVID related outbreaks, the resulting lockdowns and  
18 quarantines, hospitalizations and deaths are largely avoidable through very high levels of  
19 vaccination, particularly amongst employees who have close contact with the incarcerated, and  
20 incarcerated persons who work outside institutions or accept in person visitation." Bick Suppl.  
21 Decl. ¶ 12.

22 The Receiver respectfully submits this Reply to update the Court on the factual  
23 developments since his August 4, 2021 Recommendation, and to address, from a factual and  
24 medical perspective, the arguments against his Recommendation presented by Defendants and  
25 amici.<sup>1</sup> Defendants acknowledge that "the COVID-19 pandemic presents a substantial risk of  
26

27 <sup>1</sup> In keeping with the scope of his Recommendation, and because such arguments are being  
28 addressed by Plaintiffs' submissions, the Receiver does not respond to Defendants' and amici's  
legal arguments opposing his Recommendation.

1 serious harm,” ECF No. 3660 at 4, and “agree with the public health findings regarding the  
2 COVID-19 vaccine cited in the Receiver’s report,” yet they nonetheless oppose a mandatory  
3 vaccination policy for all staff entering institutions. *See* ECF No. 3660 at 20.

4 Defendants plan instead to implement the August 19 California Department of Public  
5 Health (CDPH) order, a policy that is inadequate to address the substantial risk of harm posed by  
6 COVID-19 within CDCR institutions. Defendants’ policy ignores the reality that COVID-19 is  
7 transmitted easily through inhalation of aerosolized particles when someone is in close contact  
8 with an infected person—as people continually are in a congregate environment like a prison—  
9 and so spreads rapidly throughout prisons. ECF No. 3638 at 12–15. COVID-19 precautions  
10 cannot be effective if applied only to a portion of an institution. Vaccination substantially reduces  
11 the risk that an individual will transmit COVID-19 to others, yet the August 19 order mandates  
12 vaccination for only a fraction of individuals who move daily between the community and  
13 institutions, even though any could introduce COVID-19 to an institution. Bick Reply Decl. ¶ 4.  
14 *See* ECF No. 3638 at 7–9. The order is simply not aimed at preventing the introduction of  
15 COVID-19 to CDCR institutions. The fraction of staff it does mandate vaccination for, healthcare  
16 staff, are already fully vaccinated at relatively high rates (74%), especially by comparison to those  
17 staff it largely does not mandate vaccination for, corrections officers (42%). Bick Reply Decl. ¶¶  
18 3, 5. Even worse, most incarcerated persons, including 15,246 who are at high risk for serious  
19 disease, do not live in or spend most of their time in areas covered by the order. *Id.* ¶ 8. And the  
20 order does nothing to prevent infections introduced to institutions by staff not covered by the order  
21 from spreading to the medical facilities integrated in those institutions. COVID-19 is easily  
22 transmitted by close contact with an infected individual and cannot be either limited to or kept  
23 from the medical treatment areas of an institution when unvaccinated people travel into and out of  
24 those areas without quarantining. *See* ECF No. 3683 at 7–8, 12–15. While vaccines greatly  
25 reduce the chance of hospitalization or death, incarcerated persons, whether vaccinated or not, face  
26 a substantial risk of serious harm from contracting COVID-19. Bick Suppl. Decl. ¶ 5.

27 Defendants also ignore the serious risks to patients from months of continuous disruption  
28 of basic programming, including the provision of routine medical care, caused by COVID-19.

1 Throughout the pandemic, modified programming has been necessary to limit the spread of  
2 COVID-19 in institutions during outbreaks and in response to reduced staffing when many  
3 employees are quarantined for exposure or caring for patients sick with or quarantined for  
4 COVID-19. These necessary modifications have diverted CDCR healthcare resources away from  
5 providing routine medical care. Bick Suppl. Decl. ¶ 8. These disruptions cannot continue  
6 indefinitely without serious consequences. *Id.*

7 The August 19 CDPH order fails to reduce materially the risk of ongoing harm to the  
8 incarcerated persons. The Receiver respectfully requests that the Court order that “access by  
9 workers to CDCR institutions be limited to those workers who establish proof of vaccination (or  
10 who have established a religious or medical exemption to vaccination)” and “that incarcerated  
11 persons who desire to work outside of the institution (e.g., fire camps) or to have in-person  
12 visitation must be vaccinated (or establish a religious or medical exemption).” ECF No. 3638 at  
13 27.

## 14 **II. FACTUAL UPDATE**

15 Since the filing of the Receiver’s recommendation on August 4, 2021, the Delta variant has  
16 dramatically increased the number of infections in California and across the country. During  
17 August 2021, 1,607 CDCR employees were diagnosed with COVID-19, an increase of 305% over  
18 July 2021. Bick Reply Decl. ¶ 2. As of August 16, 2021, there were 536 staff members with an  
19 active COVID-19 infection, an increase of more than 330% over the 162 active infections just four  
20 weeks earlier. Bick Suppl. Decl. ¶ 2. Incarcerated persons have suffered an even greater increase  
21 in infections, from around “20 per day in March, April, and May 2021 to more than 100 per day  
22 since July 2021,” a 500% increase. *Id.* Already, this surge has seriously harmed incarcerated  
23 persons in CDCR institutions.

24 We now know that the Delta variant causes breakthrough infections – infections of fully-  
25 vaccinated patients – “significantly more often than prior COVID-19 variants.” Bick Suppl. Decl.  
26 ¶ 3. CDCR has not been an exception. As of September 1, 2021, 385 fully-vaccinated patients in  
27 CDCR custody have suffered from COVID-19 breakthrough infections. Bick Reply Decl. ¶ 9. Of  
28 the 385 fully-vaccinated patients who have nevertheless contracted COVID-19, 94 had a COVID

1 risk score of 3 or higher, indicating a high risk of severe disease, [s]ome patients with  
2 breakthrough infections have experienced serious symptoms,” and “[t]here are early indications  
3 that some . . . might have long-term symptoms.” *Id.*; Bick Suppl. Decl. ¶ 4. One patient who  
4 CDCR medical personnel believe was fully vaccinated has died of COVID-19. Bick Reply Decl.  
5 ¶ 9. The impact on patients in CDCR shows that “the Delta variant presents a substantial risk of  
6 serious harm even to fully vaccinated patients.” Bick Suppl. Decl. ¶ 5.

7           Currently there are major outbreaks at five institutions, Sierra Conservation Center, Pelican  
8 Bay State Prison, North Kern State Prison, California Rehabilitation Center, and California  
9 Correctional Center, and at least three cases in six more institutions. Bick Reply Decl. ¶ 10. As a  
10 result, 38 facilities are currently under modified operations in the Roadmap to Reopening  
11 framework. *Id.* The number of people quarantined for exposure is far greater—for example,  
12 2,345 people in CDCR custody were quarantined for exposure on August 18, 2021. Bick Suppl.  
13 Decl. ¶ 6.

14           These outbreaks have a serious and compounding impact on the provision of routine  
15 healthcare. “Caring for COVID-19 infected and exposed patients is time consuming and high  
16 COVID-19 caseloads have frequently diverted clinical staff from providing routine healthcare.”  
17 Bick Suppl. Decl. ¶ 8. “Frequent program modifications resulting in changes to the availability of  
18 programs and services have also been necessary during the COVID-19 pandemic, either to slow  
19 the spread of the virus during an outbreak or in response to reduced staffing when high numbers of  
20 staff are quarantined for exposure. These program modifications often prevent or limit routine,  
21 specialty, and screening appointments.” *Id.*

22           Such modifications were common throughout the pandemic until March 2021, and their  
23 impact is illustrated by the backlogs in medical appointments they caused. Bick Suppl. Decl. ¶¶ 7,  
24 10, 11. The backlog of overdue specialty care appointments had grown 540% since the beginning  
25 of the pandemic to 17,868 overdue appointments by January 2021. *Id.* ¶¶ 11. Through the  
26 dedication of CDCR medical staff during the months from March 2021 through June 2021, when  
27 case counts were lower, this backlog was reduced to 8,052 overdue appointments by July 2021.  
28 *Id.* Because of the Delta variant, program modifications are common again, as are the disruptions

1 to routine healthcare. Bick Suppl. Decl. ¶ 7. Pelican Bay State Prison, for example, has modified  
2 programming due to COVID-19 for two of its yards for 31 days and at a third yard for 17 days in  
3 August 2021 alone. Foss Reply Decl. ¶ 6. “While these restrictions and delays have been  
4 necessary to protect patients from COVID-19 and to address patients’ most urgent needs, these  
5 delays cannot continue indefinitely without negatively affecting patient care. Additional program  
6 modifications and the renewed diversion of healthcare resources to address COVID-19 cases from  
7 Delta variant outbreaks put patients at a substantial risk of serious harm.” Bick Suppl. Decl. ¶ 8.

### 8 **III. ARGUMENT**

#### 9 **A. The Receiver’s Recommendation Is Even More Urgently Necessary Now than 10 It Was on August 4, 2021.**

11 Mandatory vaccinations of all workers entering CDCR institutions and of incarcerated  
12 persons who desire to work outside of CDCR institutions or receive in-person visitation are  
13 necessary to prevent a substantial risk of serious harm to people in CDCR custody. The Receiver  
14 made that point clearly in his Report of August 4, 2021. *See* ECF No. 3638. The growing public  
15 health crisis which has unfolded since the Report underscores the necessity that the  
16 recommendation be implemented. Even as Defendants oppose the Receiver’s recommendation,  
17 they concede the essential public health basis for it: that “the COVID-19 pandemic presents a  
18 substantial risk of serious harm,” ECF No. 3660 at 4, and the truth of “the public health findings  
19 regarding the COVID-19 vaccine cited in the Receiver’s report,” ECF No. 3660 at 19.

20 The Defendants rely heavily on the CDPH August 19 order. But that order stops far short  
21 of the Receiver’s recommendation, as it cannot be fairly read to require vaccination of all staff at  
22 all CDCR institutions. The order is limited to (1) “[a]ll paid and unpaid individuals who are  
23 regularly assigned to provide health care or health care services to inmates . . . .” and (2) “[a]ll  
24 paid and unpaid individuals who are *regularly assigned* to work within hospitals, skilled nursing  
25 facilities, intermediate care facilities, or the equivalent that are integrated into the correctional  
26 facility or detention center *in areas where health care is provided.*” CDPH, State Public Health  
27 Officer Order of August 19, 2021, [https://www.cdph.ca.gov/Programs/CID/DCDC/Pages/COVID-  
28 19/Order-of-the-State-Public-Health-Officer-Correctional-Facilities-and-Detention-Centers-](https://www.cdph.ca.gov/Programs/CID/DCDC/Pages/COVID-19/Order-of-the-State-Public-Health-Officer-Correctional-Facilities-and-Detention-Centers-)

1 Health-Care-Worker-Vaccination-Order.aspx (Aug. 19, 2021) (emphasis added). Corrections  
2 officers and other staff not regularly assigned to work in healthcare facilities are not covered by  
3 the order’s plain text.

4 Contrary to CCPOA’s unsupported suggestion in its response (*see* ECF No. 3664 at 2), the  
5 Receiver’s joint memorandum with Secretary Allison of August 23, 2021 implementing the  
6 August 19 order did not reflect any unannounced change in position by the Receiver regarding the  
7 necessity of vaccination of staff entering CDCR institutions. Instead, the Receiver was merely  
8 fulfilling his responsibility to work with the Secretary to implement the Department’s order. To  
9 avoid any confusion from CCPOA (or anyone else) as to the Receiver’s position, the Receiver  
10 wants to make clear that he believes the August 19 CDPH order is a partial measure—a small step  
11 in the right direction—covering only a fraction of staff who could introduce COVID-19 into  
12 CDCR institutions and among incarcerated persons, and covering none of those incarcerated  
13 persons who have outside contacts and could bring COVID-19 into CDCR institutions.

14 **B. The CDPH August 19 Order Falls Far Short of Reducing the Risk to the Point**  
15 **Where It Is Insubstantial.**

16 Defendants “agree with the public health findings regarding the COVID-19 vaccine cited  
17 in the Receiver’s report” and do not dispute that vaccination substantially reduces the risk that an  
18 individual will transmit COVID-19 to others. *See* ECF No. 3660 at 14, 20. Defendants devote  
19 substantial effort to questioning the Court’s power to implement the Receiver’s recommendation,  
20 but they never explain the public health basis for declining to implement such a policy themselves,  
21 which they certainly have the power to do. Instead, Defendants implement a far narrower policy,  
22 the August 19 CDPH order, which, with the exception of three institutions fully-covered by the  
23 order, applies to only a fraction of staff and none of the incarcerated persons at each institution  
24 who could introduce COVID-19 into the institution. This policy ignores undisputed public health  
25 information about COVID-19: that it is a respiratory infection easily transmitted through close  
26 contact with an infected person as is unavoidable in congregate environments like prisons; that,  
27 without quarantining, unvaccinated individuals will spread the disease from outside an institution  
28 to an institution and throughout an institution once inside; and, thus, that a policy that attempts to

1 prevent COVID-19 outbreaks while applying to only a fraction of an institution while preserving  
2 the connections within that institution will be ineffective. *See* ECF No. 3683 at 7–8, 12–15.

3 Institutional staff and incarcerated persons with outside contacts are the primary means by  
4 which COVID-19 may be introduced to institutions. Preventing the introduction of COVID-19  
5 into CDCR institutions is critical because, once introduced to an institution, COVID-19 spreads  
6 rapidly. ECF No. 3683 at 12–15. The CDPH’s August 19 order does not—and does not purport  
7 to—address this problem. *See* ECF No. 3661 ¶ 8. It therefore does not materially address the risk  
8 of COVID-19 to people incarcerated in CDCR institutions. Defendants do not justify their failure  
9 to implement the only policy that would raise staff vaccination rates to an acceptable level and  
10 lower the risk of COVID-19 outbreaks in all institutions.

11 The CDPH order applies to only a fraction of institutional staff who routinely go between  
12 the community and CDCR institutions, and it covers only the portion of staff already vaccinated at  
13 a relatively high rate. Bick Reply Decl. ¶ 5. While 74% of institutional healthcare employees  
14 statewide are fully vaccinated, only 42% of corrections officers are, yet the CDPH order applies to  
15 almost all healthcare employees but to very few corrections officers. Bick *Id.* ¶ 3. The order by  
16 its terms will fall far short of substantially increasing staff vaccination rates.

17 Nor will it do nearly enough to prevent COVID-19 outbreaks at CDCR institutions.  
18 CCHCS has determined that at least 48 outbreaks in CDCR institutions since July 31, 2021, began  
19 with institutional staff. Bick Reply Decl. ¶ 6. Of those 48 outbreaks CCHCS has determined  
20 began with staff, in only 14 cases (29%) was the outbreak traced back to a person that the August  
21 19 CDPH order requires to be vaccinated. *Id.* The Receiver’s recommendation would require all  
22 48 workers to whom an outbreak was traced to be vaccinated. *Id.*

23 Defendants and CCPOA offer two justifications for the CDPH order, but those arguments  
24 are unavailing. The order neither protects the most vulnerable patients, as Defendants claim, ECF  
25 No. 3660 at 16, nor protects patients where they are most vulnerable, as CCPOA claims, ECF No.  
26 3664 at 9.



1 On the first point, 15,246 medically vulnerable patients, those with a COVID risk score of  
 2 3 or higher,<sup>2</sup> live outside designated healthcare settings covered by the order. Bick Reply Decl. ¶  
 3 8. A patient with a COVID risk score of 3 or higher likely has multiple significant risk factors.  
 4 “For example, a patient under 65 years old with high-risk cancer *and* poorly-controlled HIV/AIDS  
 5 would have a risk score of 3.” *Id.* at ¶ 7. By limiting the definition of high-risk to those with a  
 6 COVID risk score of 6 or higher, Defendants use an overly restrictive definition that ignores most  
 7 of those who are medically vulnerable. Yet, Defendants concede that 4,250 *patients* meeting their  
 8 heightened definition of medically vulnerable are housed outside areas covered by the order. ECF  
 9 No. 3660 at 21.

10 Nor does the order protect incarcerated persons “where residents are most exposed, *i.e.*,  
 11 when submitting to healthcare.” ECF No. 3664 at 9. Patients spend the vast majority of their time  
 12 in non-healthcare settings and are frequently in close contact in those settings with staff and other  
 13 incarcerated persons. Foss Decl. ¶¶ 3, 5, 7, 10. Staff in these settings are a more significant vector  
 14 for COVID-19 outbreaks than medical staff. Bick Reply Decl. ¶ 5. Incarcerated persons are,  
 15 therefore, not more “exposed” to COVID-19 when receiving healthcare.

16 Even if all patients at a heightened risk of serious disease were housed in areas covered by  
 17 the CDPH order, or even if patients were more at risk in healthcare settings than in other  
 18 congregate settings, the CDPH order would not effectively protect them. Clinics and medical  
 19 yards are not hermetically sealed from the rest of the institution. People, including prison staff  
 20 and incarcerated persons in frequent close contact with corrections officers, continually come and  
 21 go between clinics and the non-medical portions of institutions where staff are not required to be  
 22 vaccinated and are vaccinated at very low rates. Foss Reply Decl. ¶¶ 4, 5. *See also* Bick Reply  
 23 Decl. ¶ 8. The CDPH order does not apply to staff who are temporarily assigned to medical areas,  
 24 yet it is very common for staff to be temporarily assigned from other posts. Foss Reply Decl. ¶ 3.

25  
 26 \_\_\_\_\_  
 27 <sup>2</sup> A COVID Risk Score of 3 represents an individual at high risk of severe disease. A score is  
 28 calculated by adding points for each COVID risk factor. For example, high risk cancer raises a  
 score by two points and chronic kidney disease, high risk diabetes, and poorly controlled  
 HIV/AIDS each increase a risk score by only one point. Bick Reply Decl. ¶ 7.

1 For example, at Ironwood State Prison there were 193 shifts in medical facilities covered by  
 2 temporarily assigned corrections officers in August 2021; in California State Prison, Solano, there  
 3 were 116. *Id.* In spite of the CDPH order, there is a virtual certainty, based on the staffing needs  
 4 of CDCR institutions and how the institutions are operated, that unvaccinated staff and patients  
 5 who have had close contact with unvaccinated staff will spend substantial time in healthcare  
 6 facilities within the institutions. Foss Reply Decl. ¶¶ 2–4. Because COVID-19 is easily  
 7 transmitted in the prison environment, *see* ECF 3638 at 12–16, mandatory vaccination of staff in  
 8 clinics will not prevent those who go between clinics and non-clinical areas of a prison from  
 9 inadvertently spreading an outbreak to the clinic or from transmitting an infection from the clinic  
 10 to the wider institution.

11 The August 19 CDPH order does not meaningfully decrease the risk of COVID-19 to  
 12 incarcerated persons in CDCR custody because it does not apply to the vast majority of staff and  
 13 incarcerated persons who could introduce COVID-19 infection into institutions. It is so limited  
 14 that it fails to provide significant protection even in the healthcare settings that it sets out to  
 15 protect. Defendants fail to provide an adequate public health basis for limiting their policy in this  
 16 manner.

17 **C. COVID-19 Presents a Substantial Risk of Serious Harm to Both Vaccinated**  
 18 **and Unvaccinated Incarcerated Persons.**

19 Defendants argue that unvaccinated incarcerated persons, having refused the vaccine,  
 20 cannot claim an Eighth Amendment violation. This argument ignores entirely the claims of  
 21 *vaccinated* incarcerated persons. *See* ECF 3660 at 5–6. Not only unvaccinated persons face a  
 22 substantial risk of serious harm. “[T]he Delta variant presents a substantial risk of serious harm  
 23 even to fully vaccinated patients.” Bick Suppl. Decl. ¶ 5. Neither of Defendants’ two declarants  
 24 contradict this essential fact. *See* Watt Decl.; Toche Decl. Nor could they. One fully-vaccinated  
 25 patient in CDCR custody has already died of a breakthrough infection of COVID-19, and others  
 26 have been hospitalized. Bick Reply Decl., ¶ 9 & Ex. B. The vast majority of incarcerated persons  
 27 are vaccinated, *see id.* ¶ 3, but they remain at substantial risk because of unacceptably low staff  
 28 vaccination rates.

1 Nor do Defendants address the risk to all incarcerated persons, vaccinated or not, from the  
2 disruptions in the provision of ordinary medical care and other programming caused by COVID-  
3 19 outbreaks in CDCR institutions. “Frequent program modifications resulting in changes to the  
4 availability of programs and services have also been necessary during the COVID-19 pandemic,  
5 either to slow the spread of the virus during an outbreak or in response to reduced staffing when  
6 high numbers of staff are quarantined for exposure.” Bick Suppl. Decl. ¶ 8. This is compounded  
7 by a corresponding increase in the need for healthcare. “Caring for COVID-19 infected and  
8 exposed patients is time consuming and high COVID-19 caseloads have frequently diverted  
9 clinical staff from providing routine healthcare.” *Id.* Continuous, disruptive program  
10 modifications have been the result. For example, at Pelican Bay State Prison, there has been  
11 modified programming due to COVID-19 for all 31 days in August in two yards, and for 17 days  
12 in August in a third yard. Foss Reply Decl. ¶ 6.

13 “These program modifications often prevent or limit routine, specialty, and screening  
14 appointments.” Bick Suppl. Decl. ¶ 8. The impact has been felt in dramatically increased  
15 backlogs of primary care and specialty care appointments, including a 540% increase in the  
16 number of overdue specialty care appointments by January 2021. *Id.* ¶¶ 10, 11, Exs. E, F. “While  
17 these restrictions and delays have been necessary to protect patients from COVID-19 and to  
18 address patients’ most urgent needs, these delays cannot continue indefinitely without negatively  
19 affecting patient care. *Additional program modifications* and the renewed diversion of healthcare  
20 resources to address COVID-19 cases from Delta variant outbreaks *put patients at a substantial*  
21 *risk of serious harm.*” *Id.* ¶ 8 (emphasis added). Already “the Delta variant is causing significant  
22 disruption to essential programming and services, including . . . clinical care, as was previously  
23 seen throughout the earlier days of the COVID-19 pandemic from March 2020 through March  
24 2021.” *Id.* ¶ 6.

25 All incarcerated persons in CDCR custody, vaccinated or not, face a substantial risk of  
26 serious harm from COVID-19 both directly from the virus and indirectly from the disruptions it  
27 causes to basic services. An incarcerated person’s decision whether or not to take the vaccine  
28 makes no meaningful difference in the risk they face from the disruption of basic services.

1 Defendants' Brief is strikingly silent as to the negative consequences of COVID-19  
2 restrictions on non-COVID-related medical care. And Defendants' framing of this case as  
3 primarily about the personal decisions of incarcerated persons—the vast majority of whom have  
4 accepted the vaccine—ignores their responsibility effectively to control COVID-19 in CDCR  
5 institutions both to prevent the spread of COVID-19 and to ensure the non-COVID-19 related  
6 health of patients within the system.

7 **D. Mandatory Vaccination of Incarcerated Persons is Legally Uncertain,**  
8 **Practically Daunting, and a Far Greater Intrusion into Prison Operations than**  
9 **Requiring Staff Vaccination as a Condition of Employment.**

10 Defendants assert that the Receiver's recommendation cannot be implemented because a  
11 more narrowly tailored alternative exists: "the mandatory vaccination of incarcerated people  
12 themselves." ECF No. 3660 at 15. Defendants give no indication they support such a policy, and  
13 their own arguments to this Court cannot be reconciled with it; nor have they adopted, or even  
14 suggested, such a policy previously (including in the August 19 CDPH order).

15 Even if they were serious about this purported alternative, it is no alternative at all. It fails  
16 to address, as discussed above, that fully vaccinated incarcerated persons would continue to face a  
17 substantial risk of serious harm unless prison staff were mandated to be vaccinated as well. If  
18 100% of incarcerated persons were vaccinated, they would still be at substantial risk from  
19 unvaccinated staff.

20 There are good, practical reasons for Defendants' failure to implement, recommend or  
21 support mandatory vaccination for incarcerated persons. Enforcement would require corrections  
22 officers to extract unwilling incarcerated persons from their cells, presenting a significant security  
23 risk to both corrections officers and incarcerated persons. Foss Reply Decl. ¶ 7. There is no  
24 modern precedent for such a policy. Bick Reply Decl. ¶ 12. No vaccine is currently mandatory  
25 for incarcerated persons at CDCR. *Id.*

26 Mandatory vaccination of all incarcerated persons would also intrude more deeply than the  
27 Receiver's recommendation into prison operations. A constructive relationship between  
28 incarcerated persons and prison administrators and staff is critical to maintaining a safe prison  
environment, which relies on the compliance and cooperation of incarcerated persons. Foss Reply

1 Decl. ¶ 8. A mandatory vaccination order applying to all incarcerated persons would risk  
2 profoundly disrupting this relationship, increasing tension and risking violence. *Id.*

3 Moreover, trust between patients and their doctors is necessary to provide constitutionally  
4 adequate healthcare. Bick Reply Decl. ¶ 12. Requiring CDCR and CCHCS medical personnel to  
5 carry out a mandatory vaccination program for all incarcerated persons, vaccinating some  
6 individuals against their will, would irreparably damage this doctor-patient relationship. *Id.*

7 Unlike staff, incarcerated persons have no ability to opt out of a mandatory program; that  
8 difference has profound practical consequences. That is no doubt why, despite recognizing that  
9 “the best form of protection against serious illness and death is for an individual to be vaccinated,”  
10 the State did not include incarcerated persons in its August 19 CDPH order and why it does not  
11 suggest in its brief that it would support such an order now. *See* ECF No. 3660 at 14–15.

12 **E. Six Months of Voluntary Vaccination Efforts Demonstrate that Only a**  
13 **Mandatory Vaccination Policy Will Raise Staff Vaccination to a Very High**  
14 **Level and Significantly Reduce the Risk of the Introduction of COVID-19 to**  
15 **CDCR Institutions.**

15 The CCPOA catalogues Defendants’ considerable efforts to raise staff vaccination levels.  
16 *See* ECF No. 3664 at 9–11. Despite these efforts, only 42% of custodial officers are fully  
17 vaccinated. Bick Reply Decl. ¶ 3. In July and August, the percent of fully-vaccinated staff  
18 members increased by just 1% and 2% respectively. *Id.* ¶ 11. After months of glacial progress,  
19 Defendants and CCPOA ask the Court for more time to see if this time voluntary programs will be  
20 more effective. *See* ECF No. 3660 at 17–19; ECF No. 3664 at 12. There is neither the time to  
21 spare nor the need to do so; lives are at stake right now. After more than a month of one-on-one  
22 counseling, 5,135 staff members have attended a counseling appointment. Bick Reply Decl. ¶ 11.  
23 Of those, a mere 262 staff members have agreed to become vaccinated—just 5%. *Id.* 4,385 staff  
24 members who have had one-on-one counseling—85%—have signed formal declinations, refusing  
25 to become vaccinated. *Id.* The program has now been suspended in order to redirect resources to  
26 complying with the August 19 CDPH order. *Id.* There is only one policy with any prospect of  
27 raising staff vaccination rates to a very high level: a mandatory vaccination policy for all staff who  
28 visit institutions.

1 **IV. CONCLUSION**

2 For the foregoing reasons, the Receiver respectfully requests that the Court order  
3 implementation of the Receiver's recommended mandatory vaccination policy. *See* ECF No. 3638  
4 at 27.

5  
6 DATED: September 10, 2021

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